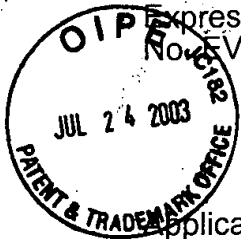


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GA 1641



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SMX 3093.6(2001-006R1)  
PATENT

#11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Gerrit Klaerner et al.

Art Unit 1641

Serial No. 10/043,394

Filed January 10, 2002

Confirmation No. 4664

For POLYMER BRUSHES FOR IMMOBILIZING MOLECULES TO A SURFACE  
OR SUBSTRATE HAVING IMPROVED STABILITY

Examiner Mary Ceperley

**RECEIVED**

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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,  
SIR:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. §1.97 and §1.98, and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record herein.

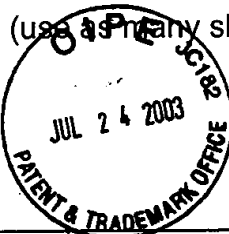
I certify that each item contained in this statement was first cited in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this statement. Enclosed is a copy of the PCT International Search Report for related application Serial No. PCT/US02/00746, dated April 29, 2003 in which these references were cited.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Derick Allen".

Derick E. Allen, Reg. No. 43,468  
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DEA/dep

<b>PTO/SB/08A</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  				<b>Complete if Known</b>	
				Application Number	10/043,394
				Filing Date	January 10, 2002
				Confirmation Number	4664
				First Named Inventor	Gerrit Klaerner
				Group Art Unit	1641
Examiner Name	Mary Ceperley				
Sheet	1	of	1	Attorney Docket No.	SMX 3093.6(2001-006R1)

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	168	EP	1 095 711	A2	Novartis AG	05-02-2001	
	169	WO	96/00251	A1	The Dow Chemical Company	01-04-1996	
	170	WO	00/65352	A1	Eidgenossisch Technische Hochschule Zurich	11-02-2000	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	171	INGALL et al. "Surface Functionalization with Polymer and Block Copolymer Films Using Organometallic Initiators" J. Am. Chem. Soc., Vol. 122, No. 32 (2000) pp. 7845-7846.	
	172	International Search Report for analogous application No. PCT/US02/00746 dated April 29, 2003.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.